

# Options Trading: Technology, Support and Brokerage Services to Empower Retail Investors

Empower your retail clients to enter a surging asset class flexibly and at scale. By arming brokers with the foundational elements they need to stand up a robust options trading business, we enable faster, more economical innovation, tailored experiences and new ways for investors to take control of their financial futures.

## The Challenge: Options-Fueled Disruption

Rapid rise in retail demand for options trading has created intense pressure for brokers to adapt and diversify.

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Options are highly complex and often unfamiliar to even experienced industry professionals, making them harder to support.

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Proprietary technology is expensive, time-consuming and requires significant expertise and constant adaptation to build and maintain.

That's where ViewTrade comes in. We provide technology, support and brokerage services for retail financial firms to deliver great, differentiated options trading experiences to their clients.

Whether you're a brokerage or an individual investor, engaging in options trading is a strategic move that provides you with the flexibility and versatility needed to meet your individual business goals.

For the Financial Firm: **Generate** a new revenue stream, **cultivate** a diverse client base and **create** an opportunity for client education.

For the Modern Investor: **Leverage** opportunities with defined risk, **Take a position** on what will not happen, **Hedge** a position, **Generate** premium income as an option seller, **Diversify** a portfolio.

## Comprehensive Solutions

With a host of trading and account management tools, a powerful technology infrastructure and the guidance you need to make the most of it, we unlock innovation in this rapidly emerging asset class.

- ✓ API suite to light up trading platforms
- ✓ Real-time options market data, option table and option radar
- ✓ Option assignments and exercises control
- ✓ Corporate Action updates
- ✓ Option rolls, buy writes, spreads, and other combinations of options and equities
- ✓ Flexibility to handle diverse client needs

# Inside Options Trading with ViewTrade

Access a wide range of option types, contract sizes, margin calculation methodologies, settlement times and more.

## An Array of Options

- Long Call / Long Put
- Cover Call / Cover Put
- Naked Put
- Spread
- Multi-Leg (two legs, three legs)
- Roll Out

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## Optimized Margin Calculations

- Takes into account all holdings in the portfolio
- Rules engine to control risk such as preventing uncovered short position

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## An Array of Risk Levels

(all bullets apply to all previous levels)

- Level 1 – Cover Call
- Level 2 – Long Call/Long Put, Long Strangles, Long Straddles, Cover Put
- Level 3 – Credit Spread, Debit Spread, Diagonal Spread
- Level 4 – Naked Equity Put
- Level 5 – Naked Equity Call
- Level 6 – Naked Index Call, Naked Index Put

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## An Array of Settlement and Expiration Periods

- AM Settlement
  - Key indexes: NDX, SPX, DJX, RUT
- PM Settlement
  - Key indexes: QQQ, IWM, DIA, SPY
- Same day, weekly, monthly, leaps

# The ViewTrade Philosophy



## Reliability You Can Count On

For more than 20 years, leading brokers and fintechs have relied on us to power their clients' investing and trading success.



## Solutions for One Thing or Everything

We offer an a la carte menu, so you can innovate whether you need a full turnkey brokerage or just API access.



## Knowledge of Your Business

We engage deeply with our clients to understand their unique and evolving challenges – and then we solve them.



## Always a Partner, Never a Competitor

We never compete with our clients by taking retail flow. We are 100% focused on helping our institutional clients grow.

Securities and brokerage services provided by ViewTrade Securities Inc. ("ViewTrade Securities") a broker-dealer registered with the Securities and Exchange Commission (SEC) and a member of the Financial Industry Regulatory Authority (FINRA) and SIPC. Technology and business solutions provided by Orbis Systems Inc. ("Orbis"). Orbis and ViewTrade Securities Inc. are affiliated and collectively referred to (with other affiliates) as "ViewTrade." This communication is not an offer to buy or sell securities and is not a recommendation regarding any investment or investment strategy. Investing involves risks and past performance is no guarantee of future results.

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## Contact Us

Email [sales@viewtrade.com](mailto:sales@viewtrade.com) to begin streamlining and expanding your retail brokerage capabilities with Options Trading.